

## Exhibit 300: Capital Asset Plan and Business Case Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview

1. **Date of Submission:** 2011-02-25
2. **Agency:** 024
3. **Bureau:** 50
4. **Name of this Investment:** CBP - TECS Modernization
5. **Unique Project (Investment) Identifier (UPI):** 024-50-01-03-01-5072-00

6. **What kind of investment will this be in FY 2012?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB?** FY2009

8.

- a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

TECS is a key system for border law enforcement and the sharing of information about people who are inadmissible or may pose a threat to the security of the United States. It plays an essential role in the screening of people and conveyances entering the U.S. and it supports the screening requirements of over 20 Federal agencies. TECS also includes functionality for investigative case management, money laundering tracking and reporting, telephone analysis, intelligence reporting and dissemination, and Bank Secrecy Act Data Access. TECS Modernization will transition the legacy Travelers Enforcement Communications Systems (now simply called TECS) from an unsustainable database platform and provide enhanced capabilities in screening, information sharing, investigations and intelligence, user interface, and statistical reporting and analysis. Since the two major functional areas of TECS, screening and investigations, naturally reside in the mission and roles of the Customs and Border Protection (CBP) and Immigration and Customs Enforcement (ICE) respectively, TECS Modernization is a joint program where capabilities will be delivered in parallel with each agency responsible for their segments and collaboration used to identify and agree upon intersections that comprise the consolidated view of the modernization effort.

- b. **Provide any links to relevant websites that would be useful to gain additional information on the investment including links to GAO and IG reports.**

Title	Link
IT Program Assessment	<a href="http://www.dhs.gov/xlibrary/assets/mgmt/itpa-cbp-tecsmod2010.pdf">http://www.dhs.gov/xlibrary/assets/mgmt/itpa-cbp-tecsmod2010.pdf</a>

9.

- a. **Provide the date of the Agency's Executive/Investment Committee approval of this investment.**  
2005-09-23
- b. **Provide the date of the most recent or planned approved project charter.** 2009-11-09

**10. Contact information?**

- a. **Program/Project Manager Name:** \*  
**Phone Number:** \*  
**Email:** \*
- b. **Business Function Owner Name (i.e. Executive Agent or Investment Owner):** Kim Mills  
**Phone Number:** \*  
**Email:** \*

**11. What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA):** Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.

- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

## Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding  
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Planning & Acquisition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition(DME):	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
Operations, Maintenance, Disposition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal O&M and Disposition Costs (SS):	*	*	*	*	*	*	*	*	*
TOTAL FTE Costs	*	*	*	*	*	*	*	*	*
TOTAL (not including FTE costs):	*	*	*	*	*	*	*	*	*
TOTAL (including FTE costs):	*	*	*	*	*	*	*	*	*
Number of FTE represented by	*	*	*	*	*	*	*	*	*

**Table I.B.1: Summary of Funding**  
**(In millions of dollars)**

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Costs:									

2. Insert the number of years covered in the column “PY-1 and earlier”: 4

3. Insert the number of years covered in the column “BY+4 and beyond”: \*

4. If the summary of funding has changed from the FY 2011 President’s Budget request, briefly explain those changes:

\*

## Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
Awarded	7014	<a href="#">HSBP1010J00420</a>	HSHQDC07D00025		*	*	\$7.7	Firm Fixed Price	X	2010-08-19	2010-08-19	Y	Server and Software with Annual Support Maintenance.
Awarded	7014	<a href="#">HSBP1004F02496</a>	TC2001025		*	*		Cost Plus Incentive	Y	2004-06-08	2009-09-30	Y	TO 19 O&M
Awarded	7014	<a href="#">HSBP1010F00283</a>	GS06F0367Z		*	*		Time and Materials	Y	2010-05-23	2010-09-22	Y	On-site support services consisting of effective and efficient Data Center Operations (DCO) and Enterprise Management and Monitoring (EMM) services for CBP, OIT.
Awarded	7014	<a href="#">HSBP1010J00855</a>	HSHQDC06D00020		*	*	\$206.2	Cost Plus Fixed Fee	Y	2010-09-29	2015-06-27	Y	CACI competitive

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
													action base award
Awarded	7014	<a href="#">HSBP1006J11194</a>	HSBP1006A0106 7		*	*		Fixed Price Award Fee	Y	2006-05-01	2007-04-30	Y	OIT PROGRAM MANAGEMENT SUPPORT
Awarded	7014	<a href="#">HSBP1010F00176</a>	GS35F5924H		*	*		Firm Fixed Price	N	2010-05-02	2011-02-01	Y	Software Support Services for the Mainframe, Client/Server and Web Environment
Awarded	7012	<a href="#">HSCEMS08JCL006</a>	GS10F06LPA000 8		*	*			N	2008-09-02		Y	Project Management Operations Support Services for Office of the Chief Information Officer (OCIO), System Development Division (SDD), Office of

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Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
													Investigation (OI)
Awarded	7012	<a href="#">HSCETC09J00001</a>	HSHQDC06D00047	HSCETC-08-Q-00017	*	*		Cost Plus Fixed Fee	N	2008-09-14	2011-10-31	Y	Enterprise Architecture Support
Awarded	7014	HSCETC09A00005	GS35F0937R	HSCETC-08-Q-00015	*	*							Independent Program V&V
Awarded	7012	<a href="#">HSCETC09J00002</a>	HSHQDC06D00022	HSCETC-08-Q-00015	*	*		Cost Plus Fixed Fee	N	2008-11-04	2013-01-03	Y	Bed Space, Transportation and Detainee Location Tracking System, (BST&T)
Awarded	7012	<a href="#">HSCETC09J00034</a>	HSHQDC06D00026	HSCETC-09-R-00022	*	*		Cost Plus Fixed Fee	N	2009-09-30	2011-07-31	Y	Law Enforcement Centralized Access Development (LECAD)
Awarded	7012	<a href="#">HSCETC09J00035</a>	HSHQDC06D00026	HSCETC-09-R-00025	*	*	\$16.7	Cost Plus Fixed Fee	N	2009-09-30	2011-12-31	N	ITESS RE-COMPETE:SUPPORT OF

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
													SC/CIRCA

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

\*

3.

- a. Has an Acquisition Plan been developed? If yes, please answer the questions that follow \*
- b. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 \*
- c. Was the Acquisition Plan approved in accordance with agency requirements \*
- d. If "yes," enter the date of approval? \*
- e. Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? \*
- f. Does the acquisition plan meet the requirements of EOs 13423 and 13514? \*
- g. If an Acquisition Plan has not been developed, provide a brief explanation.

\*



## Part II: IT Capital Investments

### Section A: General

1.
  - a. Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control. yes
  - b. If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education.
2. Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment. pspo performed research on cloud computing, and proposed using hosted cloud infrastructure services to establish a test environment for hppq project, hosted at a secure offsite data center. working w/terremark, a proposal was submitted to management.
3. Provide the date of the most recent or planned Quality Assurance Plan 2006-12-06
4.
  - a. Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment.
  - b. If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s).
5. An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment. 2007-07-26
6. Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated. 2010-12-20

## Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
CBP TECS - Analysis	DME	*	\$2.8	\$2.8	2005-09-26	2005-09-26	2007-09-25	2007-06-29	100.00%	100.00%
CBP TECS - Program Initiation	DME	*	\$0.6	\$0.6	2007-06-29	2007-06-29	2007-12-31	2007-12-31	100.00%	100.00%
CBP TECS - Concept & Technology Development	DME	*	\$1.4	\$1.5	2007-06-29	2007-06-29	2007-12-31	2007-12-31	100.00%	100.00%
CBP TECS - Over Arching Infrastructure FY 10	DME	*	\$2.6	\$2.4	2009-10-01	2009-10-01	2010-09-30	2010-09-30	100.00%	100.00%
CBP TECS - Travel Documents and Encounter Data System Initiation	DME	*	\$0.8	\$0.5	2010-03-01	2010-03-01	2010-09-30	2010-09-30	100.00%	100.00%
CBP TECS - Lookout Record Data Modernization (LRDM) Initiation and Requirements	DME	*	\$9.0	\$0.3	2010-10-01	2010-10-01	2011-09-30		50.00%	45.00%
CBP TECS - Primary Inspection Processes Initiation and Requirements	DME	*	*	*	2011-11-01	*	2012-09-30	*	*	*
CBP-TECS Mod TDED Complete and TEST Trave and Encounter	DME	*	*	*	2011-10-01	*	2012-09-30	*	*	*

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Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
ICE TECS Release 1.1 FY10	DME	*	\$24.9	\$11.7	2009-10-01	2010-10-01	2010-09-30		100.00%	45.00%
ICE TECS Modernization Development Initiation	DME	*	\$27.1	\$0.0	2011-06-20	2010-01-04	2012-06-19		0.00%	0.00%
ICE TECS Release 3.0 (Design, devel, Integrate, Test and Imp)	DME	*	*	*	2013-06-20	*	2014-06-19	*	*	*
CBP TECS Mod Overarching Infrastructure FY 11	DME	*	\$5.7	\$3.5	2010-10-01	2010-10-01	2011-09-30		50.00%	45.00%
ICE TECS Modernization Development Obtain PRR	DME	*	\$9.4	\$0.0	2012-06-20	2010-01-04	2013-06-19		0.00%	0.00%
ICE TECS Modernization Development Obtain PRR for Rel 1.2	DME	*	*	*	2014-06-20	*	2015-06-19	*	*	*
ICE TECS - Release 1.0 (Design, Devel, Integrate, Test, Implement)	DME	*	\$15.7	\$8.0	2008-10-01	2009-10-01	2010-09-30		100.00%	70.00%
DHS Investigative Case Management - Analysis Study	DME	*	\$2.3	\$2.3	2007-05-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
CBP Investment Milestone - TECS Mod Maintenance	SS	*	\$16.6	\$11.3	2010-10-01	2010-10-01	2011-09-30		50.00%	42.00%
CBP - Investment	SS	*	*	*	2012-10-01	*	2013-09-30	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Milestone - TECS MOD O&M FY 13										
CBP- TECS Mod O&M FY14	SS	*	*	*	2013-10-01	*	2014-06-30	*	*	*
ICE TECS Modernization Release 2.0 PRR	SS	*	*	*	2016-06-20	*	2017-06-19	*	*	*
CBP-TECS Mod O&M FY15	SS	*	*	*	2014-10-01	*	2015-09-30	*	*	*
CBP Investment Milestone - Program management Operations and Maintenance FY 16	SS	*	*	*	2015-10-01	*	2016-09-30	*	*	*
CBP Investment Milestone - O&M FY 17	SS	*	*	*	2016-10-01	*	2017-09-30	*	*	*
CBP Investment Milestone - O&M FY18	SS	*	*	*	2017-10-01	*	2018-09-30	*	*	*
CBP Investment Milestone - O&M FY 19	SS	*	*	*	2018-10-01	*	2019-09-30	*	*	*
CBP Investment Milestone - O&M FY 20	SS	*	*	*	2019-10-01	*	2020-09-30	*	*	*
CBP Investment Milestone - O&M FY 21	SS	*	*	*	2020-10-01	*	2021-09-30	*	*	*
CBP Secondary Inspection Project Initiation	DME	*	\$0.4	\$0.4	2008-04-01	2008-05-01	2008-09-30	2008-09-30	100.00%	100.00%
CBP Secondary	DME	*	\$10.4	\$10.4	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
inspection Construction Increment 1										
CBP Secondary Inspection Release Increment 1 Develop Increment 2	DME	*	\$9.6	\$8.8	2009-10-01	2009-10-01	2010-09-30	2010-09-30	80.00%	83.00%
CBP-TECS Mod Maintenance FY 10	SS	*	\$3.5	\$2.9	2009-10-01	2009-10-01	2010-09-30	2010-09-30	100.00%	100.00%
CBP-TECS Mod Secondary Inspection Incre 3.	DME	*	\$9.2	\$1.8	2010-10-01	2010-10-01	2011-09-30		50.00%	42.00%
CBP- TECS Mod Secondary Inspection Complete Deployment	DME	*	*	*	2011-10-01	*	2012-09-30	*	*	*
CBP-TECS Mod HighPerformance Primary Query Initiation	DME	*	\$0.2	\$0.3	2008-05-01	2008-05-01	2008-09-30	2008-09-30	100.00%	100.00%
cbp-tecs mOD hppq CONSTRUCTION INCREMENT 1	DME	*	\$11.0	\$11.0	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
CBP-TECS Mod HPPQ Increment 2 Construction	DME	*	\$22.0	\$17.5	2009-10-01	2009-10-01	2010-09-30	2010-10-29	100.00%	100.00%
CBP-TECS Mod HPPQ Increment 3	DME	*	\$5.3	\$3.9	2010-10-01	2010-10-01	2011-09-30		50.00%	42.00%
CBP-TECS Mod HPPQ Incr 3 Deploy	DME	*	*	*	2011-10-01	*	2012-09-30	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
CBP-TECS Mod HPPQ Initiate Manifest Proessing	DME	*	*	*	2012-10-01	*	2013-09-30	*	*	*
CBP-TECS Mod HPPQ develop Manifest Processing	DME	*	*	*	2013-10-01	*	2014-09-30	*	*	*
CBP-TECS Mod HPPQ Deploy Manifest Processing	DME	*	*	*	2014-10-01	*	2015-09-30	*	*	*
CBP-TECS Mod TDED Travel and Encounter Development	DME	*	\$8.0	\$0.5	2010-10-01	2010-10-01	2011-09-30		50.00%	42.00%
ICE TECS Modernization Development Obtain PRR for Rel 1.3	DME	*	*	*	2015-06-20	*	2016-06-17	*	*	*
DHS Investment Milestone - O&M FY 2013	SS	*	*	*	2012-07-01	*	2013-06-30	*	*	*
DHS Investment Milestone - O&M FY 2014	SS	*	*	*	2013-07-01	*	2014-06-30	*	*	*
DHS Investment Milestone - O&M FY 2015	SS	*	*	*	2014-07-01	*	2015-06-30	*	*	*
DHS Investment Milestone - O&M FY 2016	SS	*	*	*	2015-07-01	*	2016-06-30	*	*	*
DHS Investment Milestone - O&M FY 2017	SS	*	*	*	2016-07-01	*	2017-06-30	*	*	*
DHS Investment	SS	*	*	*	2017-07-01	*	2018-06-30	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Milestone - O&M FY 2018										
DHS Investment Milestone - O&M FY 2019	SS	*	*	*	2018-07-01	*	2019-06-30	*	*	*
DHS Investment Milestone - O&M FY 2020	SS	*	*	*	2019-07-01	*	2020-06-30	*	*	*
DHS Investment Milestone - O&M FY 2021	SS	*	*	*	2020-07-01	*	2021-06-30	*	*	*
CBP TECS Mod TDED Encounter Development	DME	*	*	*	2012-10-01	*	2013-09-30	*	*	*
CBP TECS Mod TDED Devel complete all increments	DME	*	*	*	2013-10-01	*	2014-09-30	*	*	*
CBP TECS Mod complete TDED Deployment	DME	*	*	*	2014-10-01	*	2015-09-30	*	*	*
CBP TECS Mod Lookout Record Design and Construction	DME	*	*	*	2011-10-01	*	2012-09-30	*	*	*
CBP TECS Mod Lookout Record Increment 1	DME	*	*	*	2012-10-01	*	2013-09-30	*	*	*
CBP TECS Mod Deploy Lookout Record	DME	*	*	*	2013-10-01	*	2014-09-30	*	*	*
CBP TECS Mod PIP Primary Processes	DME	*	*	*	2012-10-01	*	2013-09-30	*	*	*
CBP TECS Mod Business Ops	DME	*	*	*	2013-10-01	*	2014-09-30	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Mgmt										
CBP TECS Mod Alternate Inspection	DME	*	*	*	2014-10-01	*	2015-09-30	*	*	*
CBP TECS Mod Program Management FY 09	DME	*	\$3.3	\$3.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
CBP TECS Mod Program management FY 10	DME	*	\$3.4	\$3.5	2009-10-01	2009-10-01	2010-09-30	2010-09-30	100.00%	100.00%
CBP TECS Mod Security FY 09	DME	*	\$0.3	\$0.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
CBP-TECS Modernization Security FY 10	DME	*	\$0.3	\$0.6	2009-10-01	2009-10-01	2010-09-30	2010-09-30	100.00%	100.00%
CBP - TECS Mod Overarching Infrastructure FY 09	DME	*	\$1.3	\$1.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
CBP - TECS Mod Program mgmt FY 11	DME	*	\$3.1	\$4.0	2010-10-01	2010-10-01	2011-09-30		50.00%	42.00%
CBP - TECS Mod Program Mgmt FY 12	DME	*	*	*	2011-10-01	*	2012-09-30	*	*	*
CBP - TECS Mod Program Mgmt 13	DME	*	*	*	2012-10-01	*	2013-09-30	*	*	*
CBP - TECS Modernization Program Mgmt FY 14	DME	*	*	*	2013-10-01	*	2014-09-30	*	*	*
CBP - TECS Mod Prog Mgmt 15	DME	*	*	*	2014-10-01	*	2015-09-30	*	*	*



Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
CBP - TECS Mod Security FY 11	DME	*	\$0.3	\$0.2	2010-10-01	2010-10-01	2011-09-30		50.00%	42.00%
CBP - TECS Mod Security 12	DME	*	*	*	2011-10-01	*	2012-09-30	*	*	*
CBP - TECS Mod Security 13	DME	*	*	*	2012-10-01	*	2013-09-30	*	*	*
CBP-TECS Mod Sec 14	DME	*	*	*	2013-10-01	*	2014-09-30	*	*	*
CBP - TECS Mod Overarching Infrastructure FY 12	DME	*	*	*	2011-10-01	*	2012-09-30	*	*	*
CBP - TECS Mod Overarching Infrastructure	DME	*	*	*	2012-10-01	*	2013-09-30	*	*	*
CBP - TECS Mod Overarching Infrastructure FY 14	DME	*	*	*	2013-10-01	*	2014-09-30	*	*	*
ICE TECS Modernization PRR for rel 3.0	DME	*	*	*	2017-06-20	*	2018-06-19	*	*	*
ICE TECS Modernization Secure Data Center Support 22	SS	*	*	*	2021-07-01	*	2022-06-30	*	*	*
ICE TECS Modernization )&M Secure Data Center Support 23	SS	*	*	*	2022-07-01	*	2023-06-30	*	*	*
TECS Modernization O&M Secure Data Center Support 24	SS	*	*	*	2023-07-01	*	2024-06-30	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
ICE TECS Modernization Secure Data Center Support	SS	*	*	*	2024-07-01	*	2025-06-30	*	*	*

**2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion.** Schedule & cost variances are unacceptable because ICE has been able to perform only basic planning and req. definition on the 4 releases beginning in FY 10. DHS is still working with ICE to issue an RFP to acquire the services of a dev. contractor. ICE projects will be rebaselined when contractor is selected & available. The schedule & cost will be affected, but won't know to what degree until contractor is chosen. So, a reasonably accurate estimate at completion cannot be made at this time.

**3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis.**

**4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation?**

Section C: Financial Management Systems

Table II.C.1: Financial Management Systems			
System(s) Name	System acronym	Type of Financial System	BY Funding
*	*	*	*

Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

Table II.D.1. Customer Table:	
Customer Agency	Joint exhibit approval date
NONE	

Table II.D.2. Shared Service Providers		
Shared Service Provider (Agency)	Shared Service Asset Title	Shared Service Provider Exhibit 53 UPI (BY 2011)
*	*	*

Table II.D.3. For IT Investments, Partner Funding Strategies (\$millions):							
Partner Agency	Partner exhibit 53 UPI (BY 2012)	CY Monetary Contribution	CY “In-Kind” Contribution	CY Fee-for-Service	BY Monetary Contribution	BY “In-Kind” Contribution	BY Fee-for-Service
NONE							

Table II.D.4. Legacy Systems Being Replaced		
Name of the Legacy Investment of Systems	Current UPI	Date of the System Retirement
*	*	*

## Section E: Performance Information

Table I.E.1a. Performance Metric Attributes

Measurement Area (For IT Assets)	Measurement Grouping (For IT Assets)	Measurement Indicator	Reporting Frequency	Unit of Measure	Performance Measure Direction	Baseline	Year Baseline Established for this measure (Origination Date)
Mission and Business Results	Border and Transportation Security	CBP: % Increase in number of individuals subject to secondary inspection as a result of improvements to the name query algorithm	annual	percentage	Increase	212,565	2008-08-04
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	3% increase by FY12; 0% increase in FY 10 and FY 11	212,565 Actual increases will occur with system enhancement in FY2011	Met	2010-09-17
Mission and Business Results	Border and Transportation Security	CBP: % of manifested travelers for whom a second watch list is performed	annual	percentage	Increase	0%	2008-08-04
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	0%	0% second watch lists begin in FY 2011	Met	2010-09-17
Customer Results	Customer Impact or Burden	CBP: % of Person Subject records that include (or link to) a photo	annual	percentage	Increase	71,904 currently 1%	2008-08-04
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	71,904 (0% increase until 2012)	71,904 percent of subject records will not increase as a result of system performance until FY2012	Met	2010-09-17

Technology	Data Reliability and Quality	CBP: % of secondary encounters recorded in the Single Encounter Database	annual	percentage	Increase	0. No recordation of secondary encounters in the Single Encounter Database prior to FY2011	2008-08-04
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	0%	0. Secondary encounters will be recorded at completion of FY 2010	Met	2010-09-17
Processes and Activities	Efficiency	CBP: Number of name variants and aliases added via linguistically sensitive analysis or integration with other systems	annual	number	Increase	0 name variants currently available	2008-08-04
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	Zero Increase (No increase prior to 2012)	0 name variants (number will not increase prior to 2012)	Met	2010-09-17
Customer Results	Delivery Time	ICE: % Decrease in closure time for change requests	annual	percentage	Decrease	1 week	2008-08-04
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	0% change	1 week	Met	2010-09-17
Processes and Activities	Cycle Time	ICE: % Decrease in closure time for change requests	annual	percentage	Decrease	45 minutes	2008-08-04
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	0% change	80% accuracy	Met	2010-09-17
Technology	Data Reliability and	ICE: % Increase in	annual	percentage	Increase	80%	2008-08-04

	Quality	number of fields with accurate enforcement data					
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	0% increase	45 minutes	Met	2010-09-17
Mission and Business Results	Property Protection	ICE: % Increase in number of leads disseminated ICE investigators and CBP agents	annual	percentage	Increase	30,000	2008-08-04
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	0% change	30,000	Met	2010-09-17

\* - Indicates data is redacted.